

# p-Pb at the LHC: ALICE Results and Plans

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for the ALICE collaboration

Yale



The Physics of p+A Collisions at RHIC  
January 7-9, 2013, Brookhaven National Lab

- Pilot run on September 13, 2012
  - $\sqrt{s_{NN}} = 5.02$  TeV (4 TeV p + 1.58A TeV Pb)
  - center of mass moves with  $\Delta y = -0.465$  in direction of p beam
  - $\approx 2$ M p-Pb events recorded
  - originally planned for accelerator/experiment setup, the pilot run yields physics results!
- Results from the pilot run
  - charged particle pseudorapidity density  
arXiv:1210.3615, accepted at Phys. Rev. Lett.
  - transverse momentum spectra and nuclear modification  
arXiv:1210.4520
  - di-hadron correlations  
arXiv:1212.2001, accepted at Phys. Lett. B
- Plans for the 2013 p-Pb run

- Event selection

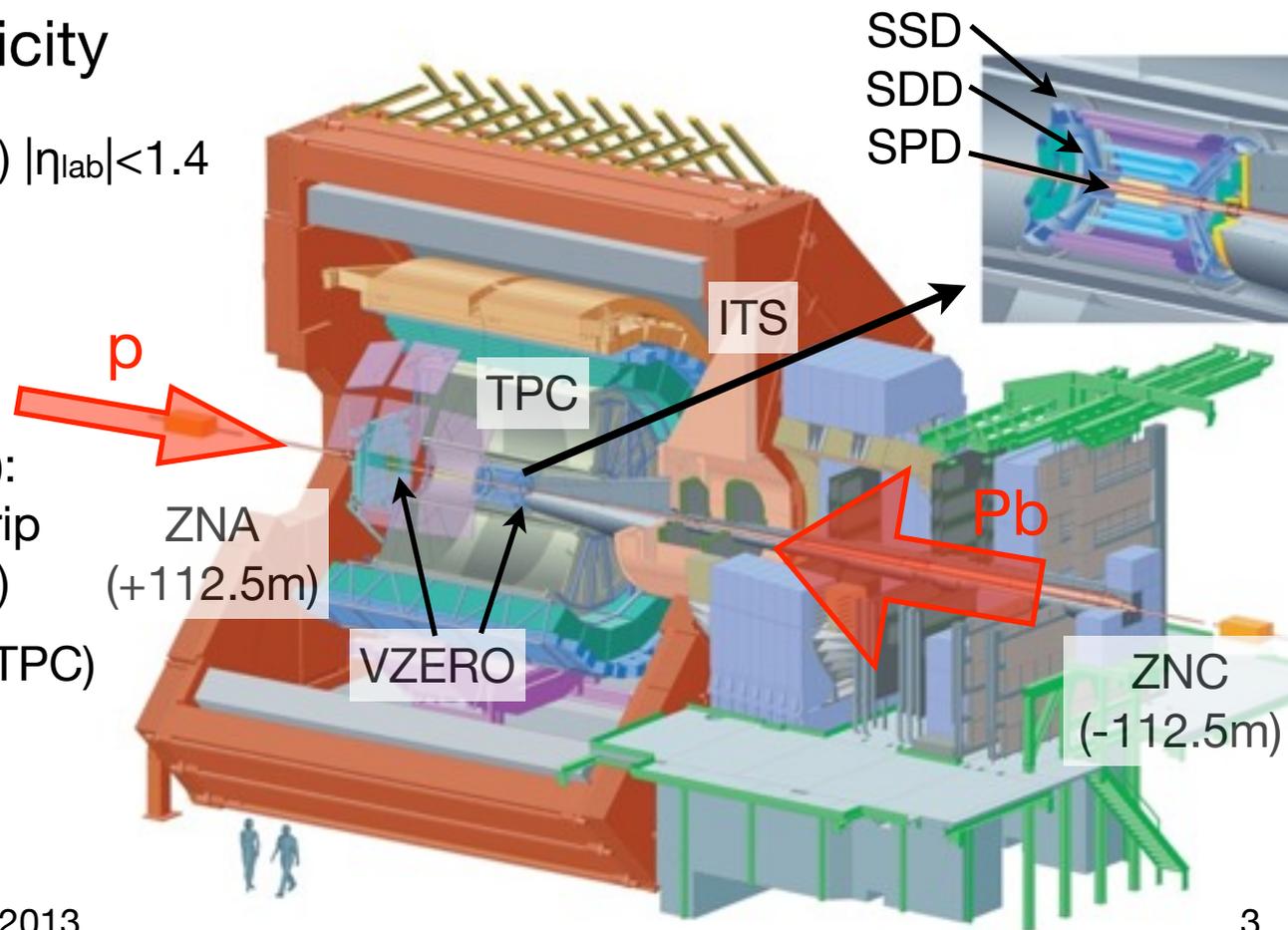
- VZERO-A ( $2.8 < \eta_{\text{lab}} < 5.1$ ), VZERO-C ( $-3.7 < \eta_{\text{lab}} < -1.7$ )
- Neutron zero degree calorimeters (ZNA, ZNC)

- Charged particle multiplicity

- Silicon Pixel Detector (SPD)  $|\eta_{\text{lab}}| < 1.4$

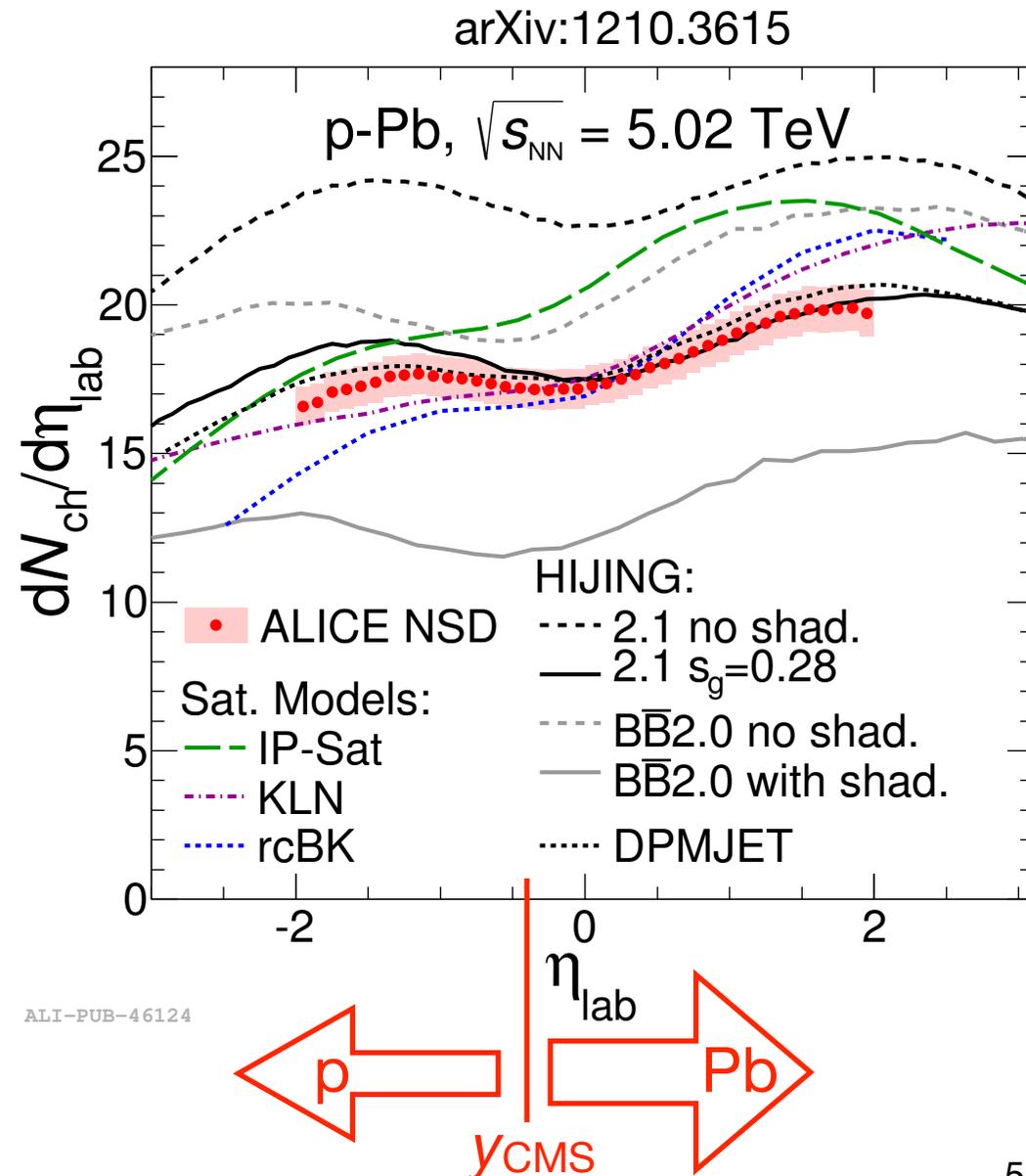
- $p_T$  spectra, di-hadron correlations

- Inner Tracking System (ITS): Silicon Pixel, -Drift and -Strip Detectors (SPD, SDD, SSD)
- Time Projection Chamber (TPC)  $|\eta_{\text{lab}}| < 0.9$  to 1.5

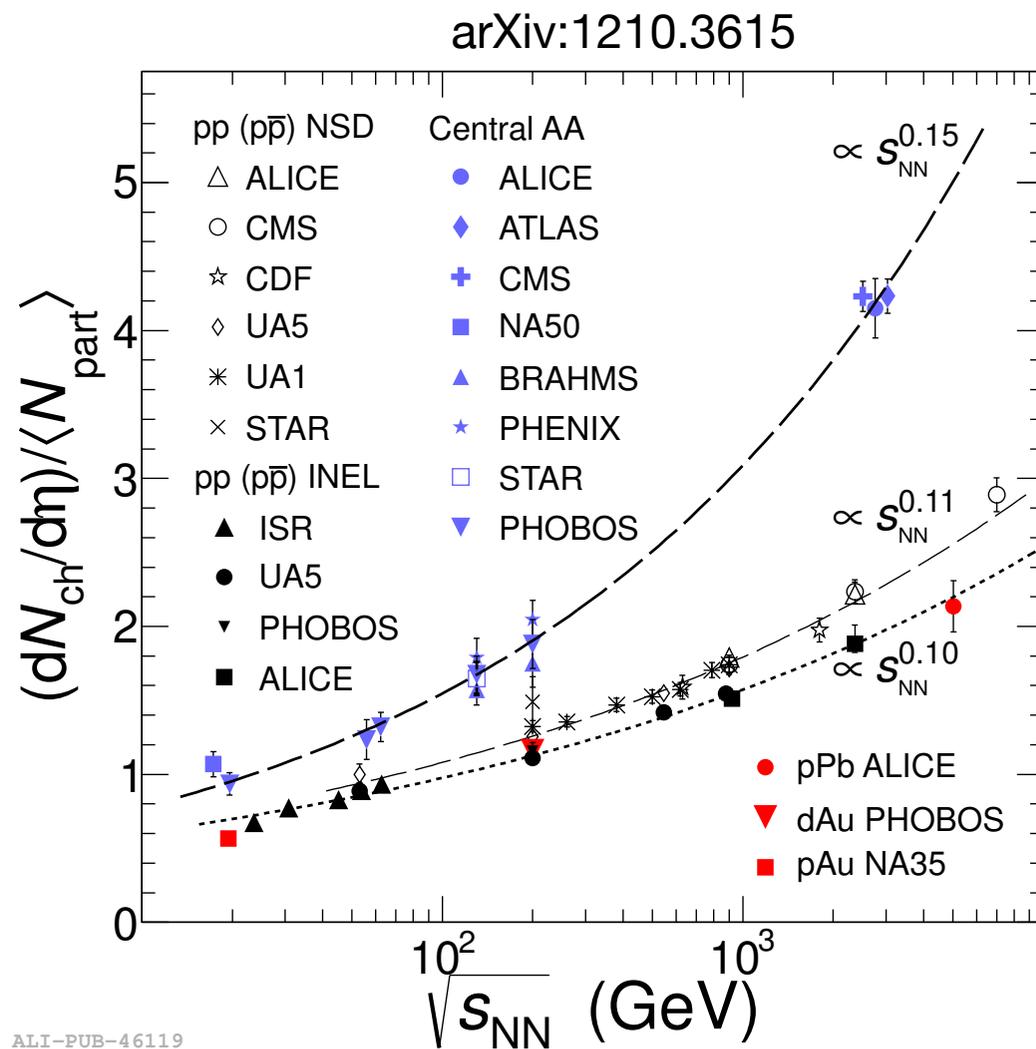


- Event selection
  - VZERO-A AND VZERO-C signal
  - Neutron zero degree calorimeters (ZNA, ZNC): background removal and control trigger
- Resulting event sample
  - non single-diffractive (NSD)
  - negligible contamination from SD and EM processes
- NSD in p-Pb
  - at least one binary N+N interaction is NSD (Glauber picture)
  - definition confirmed by model: in DPMJET, SD p-Pb collisions are concentrated on the surface of the nucleus (and have  $N_{\text{part}} = 2$ )
- Validated from cocktail of models

- Tracklet analysis with SPD hits
  - dominating systematic uncertainty  
3% from normalization
- Reach of the SPD extended to  $|\eta_{lab}| < 2.0$  using displaced vertex events
- $y_{CMS} = -0.465$
- Saturation models predict a steeper slope of the double-hump structure

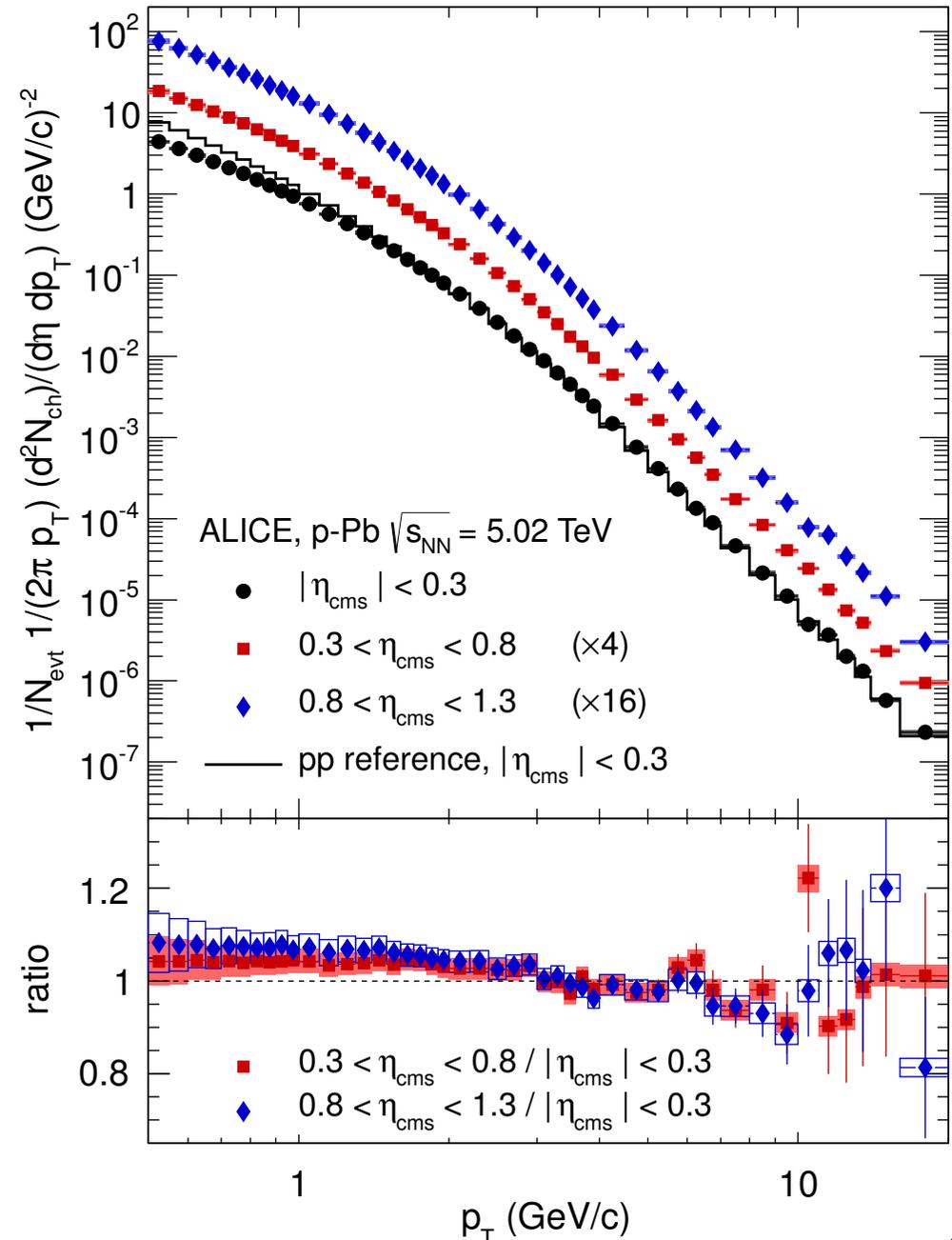


- Normalization by  $\langle N_{part} \rangle = 7.9$  (Glauber MC)
- Midrapidity  $dN_{ch}/d\eta$  lies
  - 15% below NSD pp collisions
  - in the systematics of inelastic pp collisions
- Inelastic p-Pb would be  $\approx 4\%$  lower (HIJING)



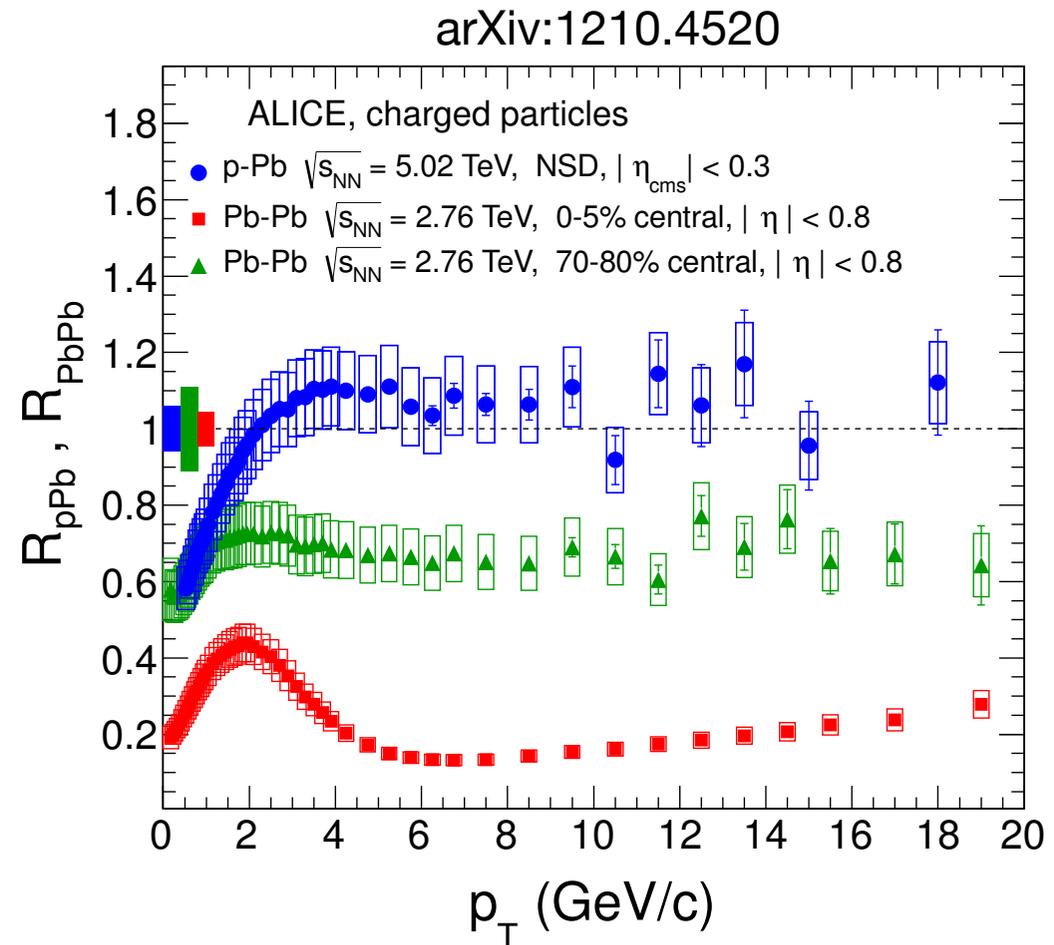
- Primary charged tracks
  - reconstructed in ITS+TPC ( $|\eta_{lab}| < 0.8$ )
  - assume  $\eta_{CMS} = \eta_{lab} - y_{CMS}$ , then correct
  - slightly softer spectrum at higher  $\eta$  (Pb side)?
- Compare to pp reference spectrum
  - constructed from measurements at 2.76 TeV and 7 TeV (3.6% systematic uncertainty):
    - $p_T < 5 \text{ GeV}/c$ : interpolation assuming power law dependence on  $\sqrt{s}$
    - $p_T > 5 \text{ GeV}/c$ : scale  $\sqrt{s} = 7 \text{ TeV}$  data using factor from NLO pQCD
  - scaled by  $T_{pPb} = 0.0983 \pm 0.0035 \text{ mb}^{-1}$  from Glauber model

arXiv:1210.4520



$$R_{AB} = \frac{dN_{AB}/dp_T}{\langle N_{coll} \rangle dN_{pp}/dp_T}$$

- $R_{pPb}$  compatible with 1 for  $p_T > 2 \text{ GeV}/c$
- Unlike in Pb-Pb, no suppression observed
- Suppression in Pb-Pb is not an initial state effect



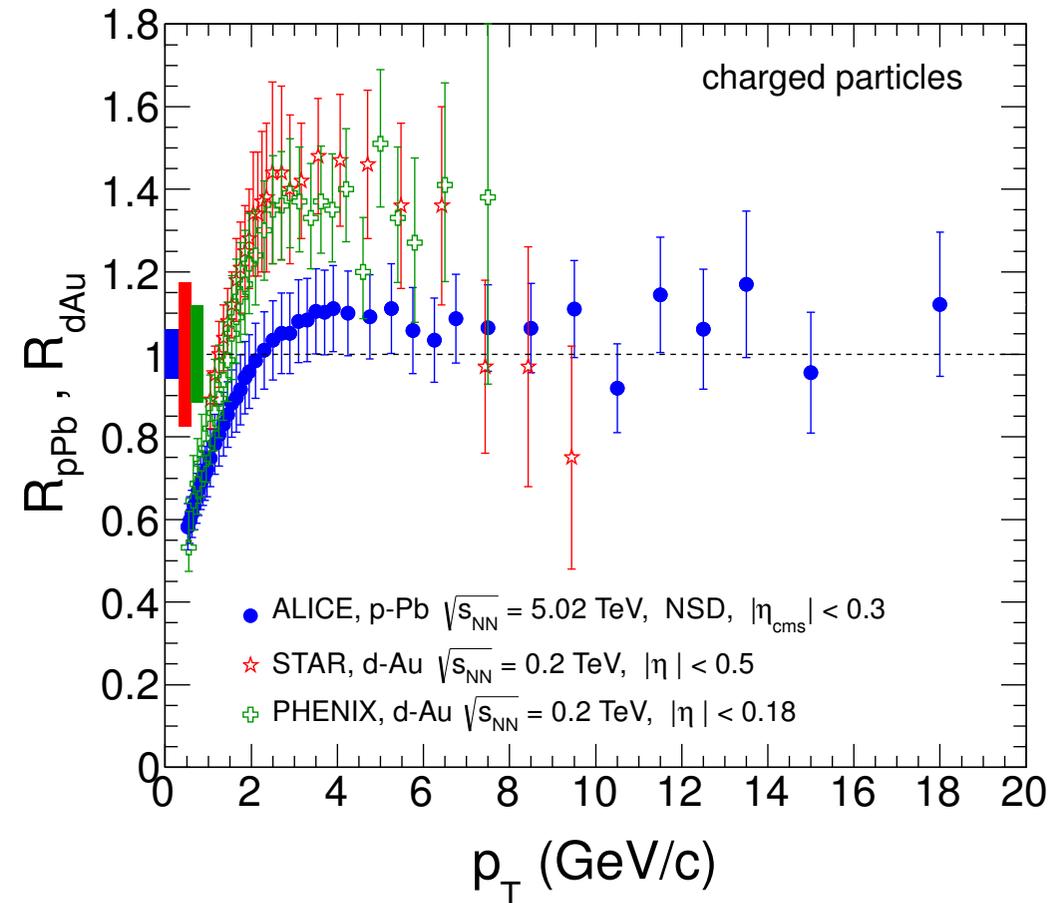
ALI-PUB-44351

- $R_{AB} > 1$  at intermediate  $p_T$  observed in d+Au at RHIC typically attributed to Cronin effect
- No enhancement seen in p-Pb at LHC
- No Cronin effect?

ALICE: arXiv:1210.4520

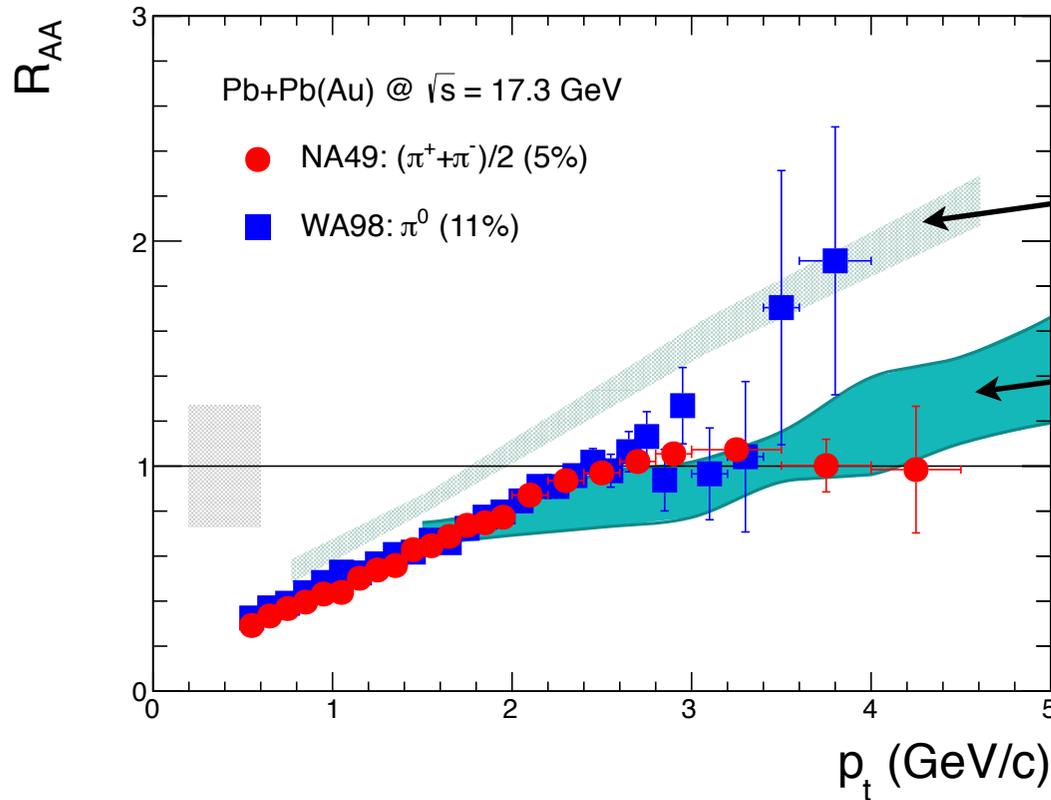
STAR: PRL 91:072304,2003

PHENIX: PRL 91:072303,2003



ALI-DER-44411

- Reminder from SPS energies:  $R_{AB} = 1$  does not mean absence of effects!



Calculation taking into account:

Cronin effect, shadowing

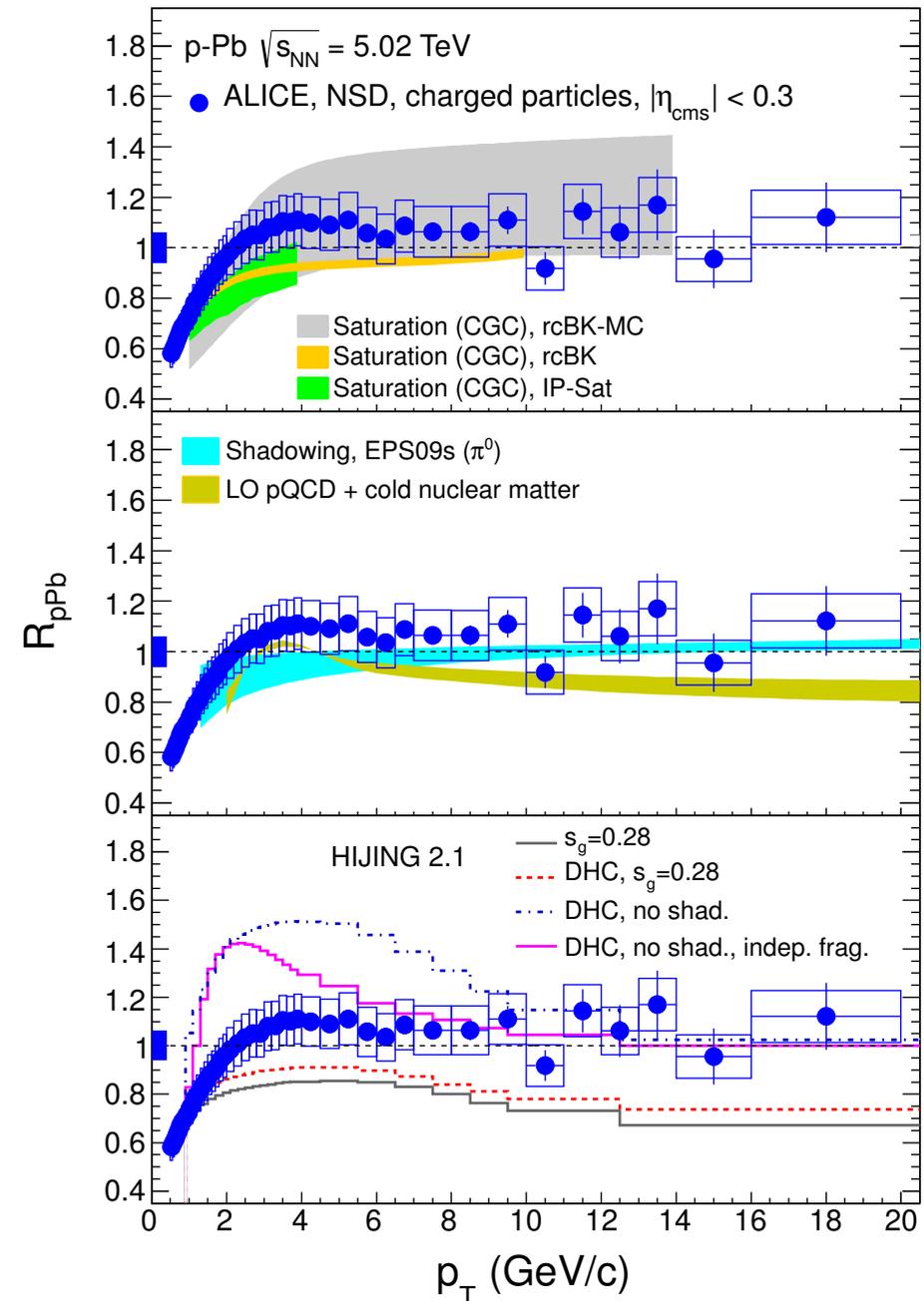
Cronin effect, shadowing + partonic energy loss

Nucl.Phys.A783:65,2007

PRL 89:252301,2002

- Model comparisons are required to understand  $R_{pPb}$  at the LHC

- Saturation (CGC) models:
  - consistent with the data
  - large uncertainties
- pQCD models with shadowing:
  - consistent at low  $p_T$
  - some discrepancies at high  $p_T$
- HIJING
  - with shadowing describes  $\eta$  spectrum and low  $p_T$  better
  - no shadowing better at high  $p_T$

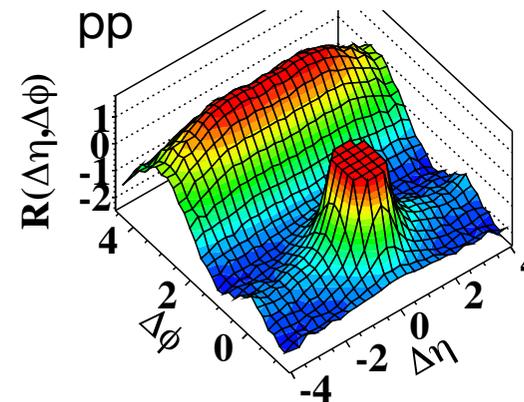


# Di-Hadron Correlations Introduction

- CMS: pp, p-Pb at LHC
  - long-range correlations (near side ridge) appear in high multiplicity events
  - collective effects in pp and p-Pb?

JHEP 1009:091,2010

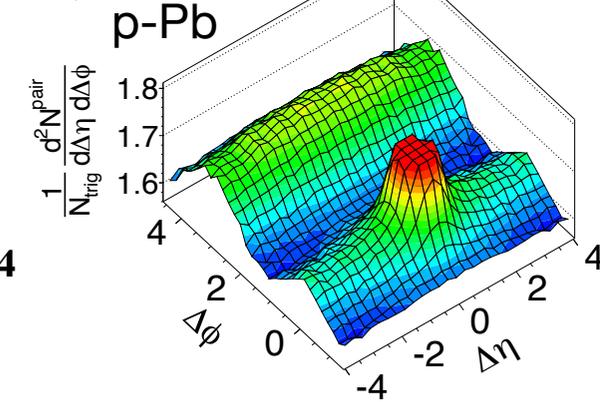
(d) CMS  $N \geq 110$ ,  $1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$



arXiv:1210.5482

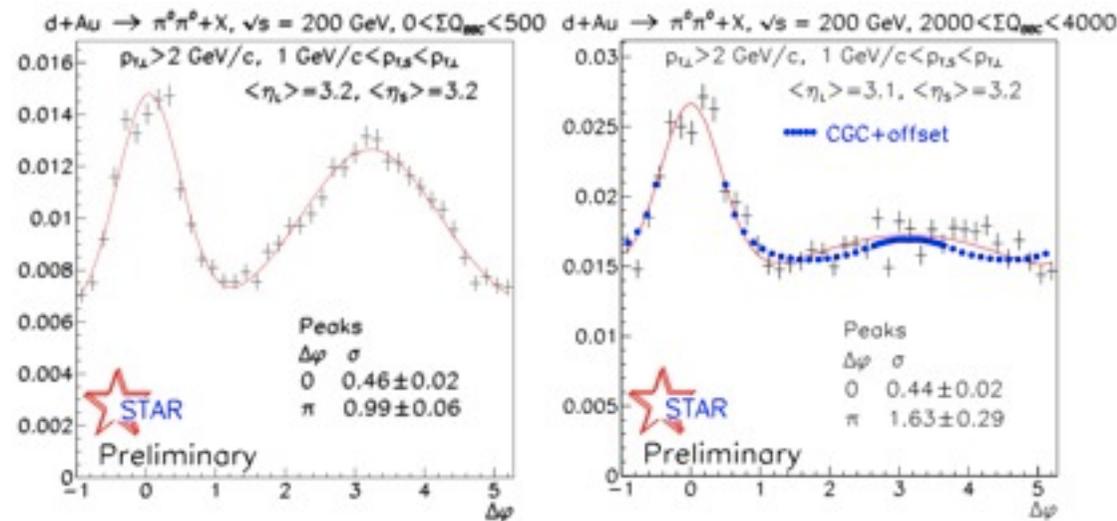
CMS pPb  $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ ,  $N_{\text{trk}}^{\text{offline}} \geq 110$

$1 < p_T < 3 \text{ GeV}/c$



- d+Au at RHIC, e.g. STAR
  - back-to-back signal in forward  $\pi^0$  correlations disappears for high multiplicity events
  - compatible with CGC predictions

Nucl.Phys.A854:168,2011

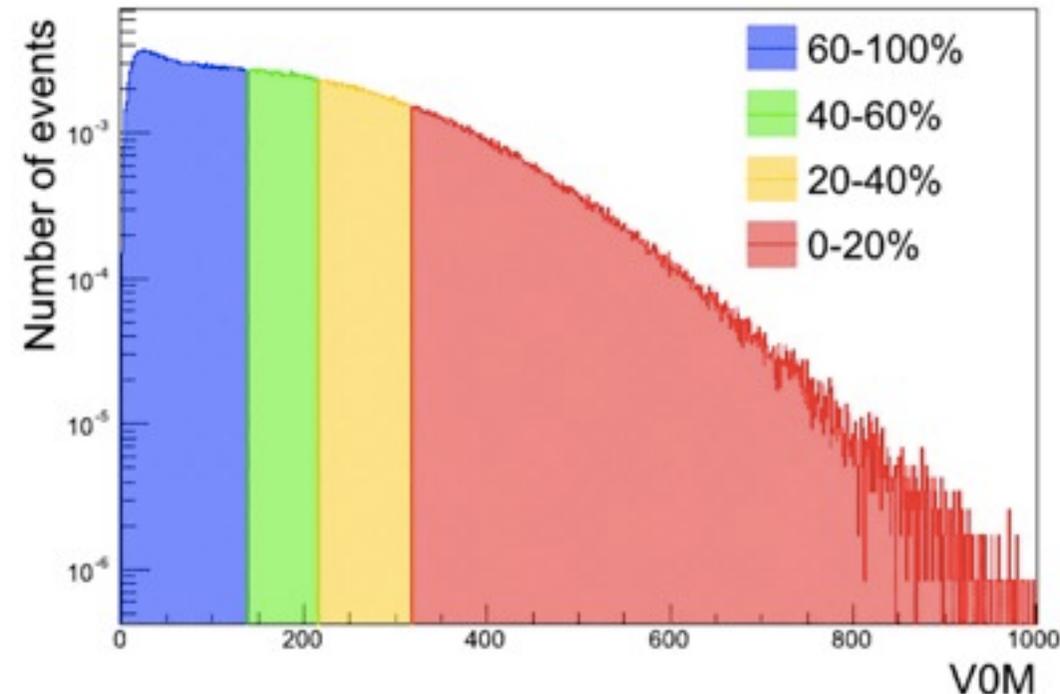


- LHC central  $\eta$  and RHIC forward probe a similar  $x$  regime

# Di-Hadron Correlations Multiplicity Classes

arXiv:1212.2001

- Correlation between geometry and multiplicity in p-A is not as strong as in A-A
- Define multiplicity classes
  - using charge in VZERO to avoid correlation with analyzed tracks
  - V0M: sum of signals from
    - VZERO-A ( $2.8 < \eta_{\text{lab}} < 5.1$ ) and
    - VZERO-C ( $-3.7 < \eta_{\text{lab}} < -1.7$ )
- Systematic checks using
  - SPD  $|\eta_{\text{lab}}| < 1.4$
  - ZNA (beam neutron acceptance, Pb side)



Event class	V0M range (a.u.)	$\langle dN_{\text{ch}}/d\eta \rangle  _{ \eta  < 0.5}$ $p_{\text{T}} > 0 \text{ GeV}/c$	$\langle N_{\text{trk}} \rangle  _{ \eta  < 1.2}$ $p_{\text{T}} > 0.5 \text{ GeV}/c$
60-100%	< 138	$6.6 \pm 0.2$	$6.4 \pm 0.2$
40-60%	138-216	$16.2 \pm 0.4$	$16.9 \pm 0.6$
20-40%	216-318	$23.7 \pm 0.5$	$26.1 \pm 0.9$
0-20%	> 318	$34.9 \pm 0.5$	$42.5 \pm 1.5$

# Di-Hadron Correlations Correlation Measure

arXiv:1212.2001

- Associated yield per trigger particle

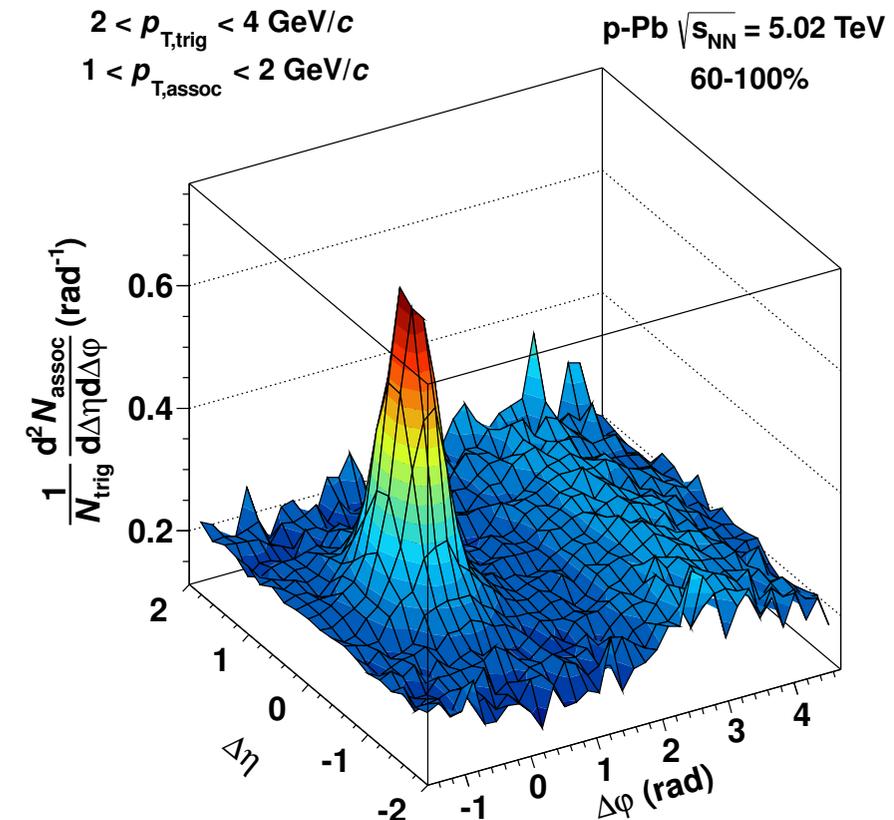
$$\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{assoc}}}{d\Delta\eta d\Delta\varphi} = \frac{S(\Delta\eta, \Delta\varphi)}{B(\Delta\eta, \Delta\varphi)}$$

- Signal (same event) pair yield

$$S(\Delta\eta, \Delta\varphi) = \frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{same}}}{d\Delta\eta d\Delta\varphi}$$

- Background (mixed event) yield

$$B(\Delta\eta, \Delta\varphi) = \frac{1}{B(0,0)} \frac{d^2 N_{\text{mixed}}}{d\Delta\eta d\Delta\varphi}$$



ALI-PUB-46224

# Di-Hadron Correlations Correlation Measure

arXiv:1212.2001

- Associated yield per trigger particle

$$\frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{assoc}}}{d\Delta\eta d\Delta\varphi} = \frac{S(\Delta\eta, \Delta\varphi)}{B(\Delta\eta, \Delta\varphi)}$$

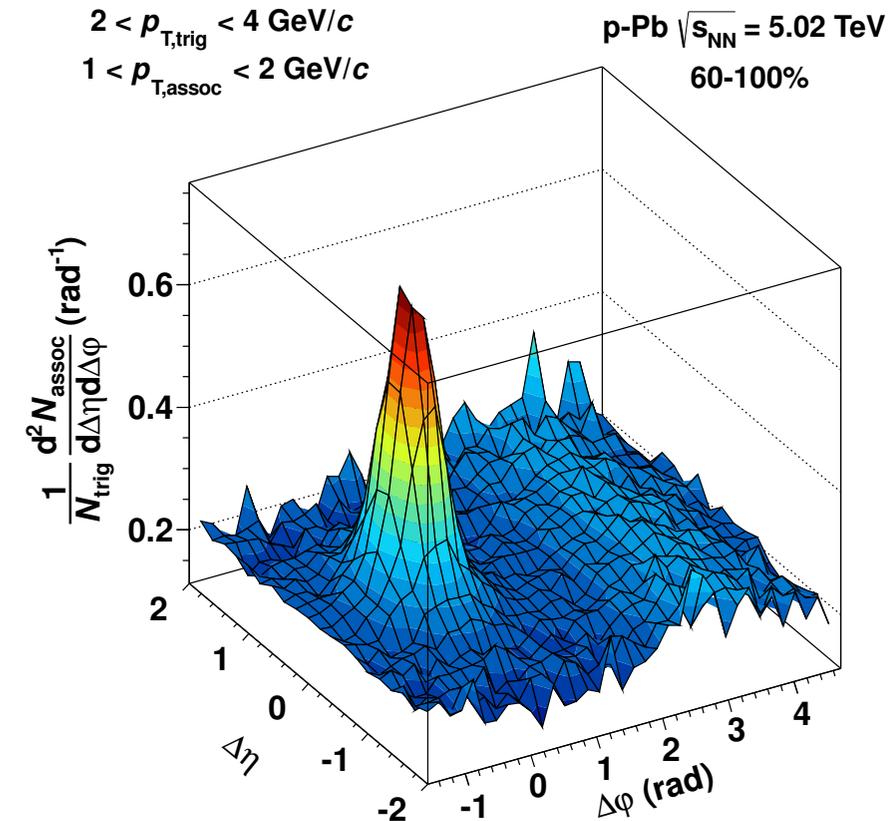
- Signal (same event) pair yield

$$S(\Delta\eta, \Delta\varphi) = \frac{1}{N_{\text{trig}}} \frac{d^2 N_{\text{same}}}{d\Delta\eta d\Delta\varphi}$$

summed over all events in event class, then divided

- Background (mixed event) yield

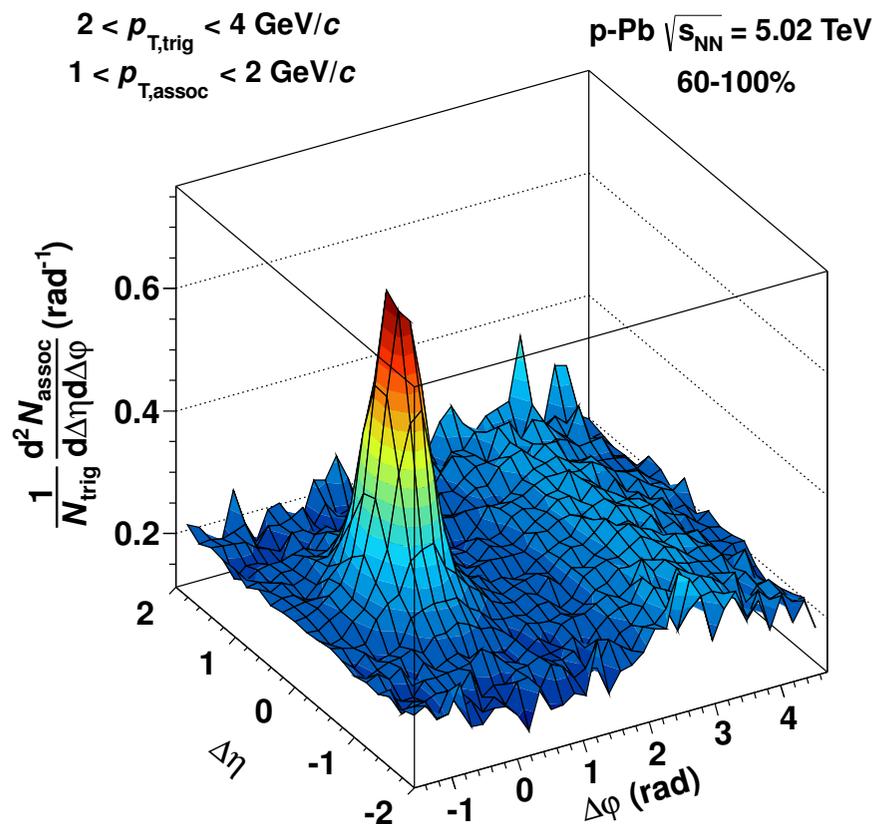
$$B(\Delta\eta, \Delta\varphi) = \frac{1}{B(0,0)} \frac{d^2 N_{\text{mixed}}}{d\Delta\eta d\Delta\varphi}$$



ALI-PUB-46224

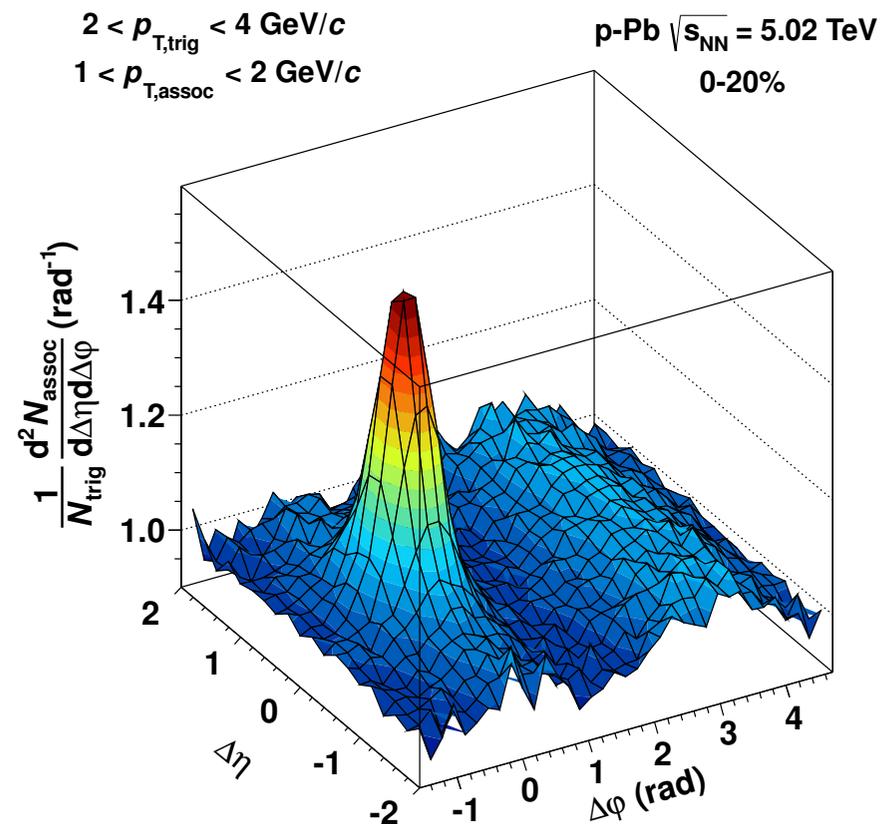
# Di-Hadron Correlations Multiplicity Dependence

arXiv:1212.2001



ALI-PUB-46224

- Low-multiplicity p-Pb:
  - pp-like (jet-like) correlation

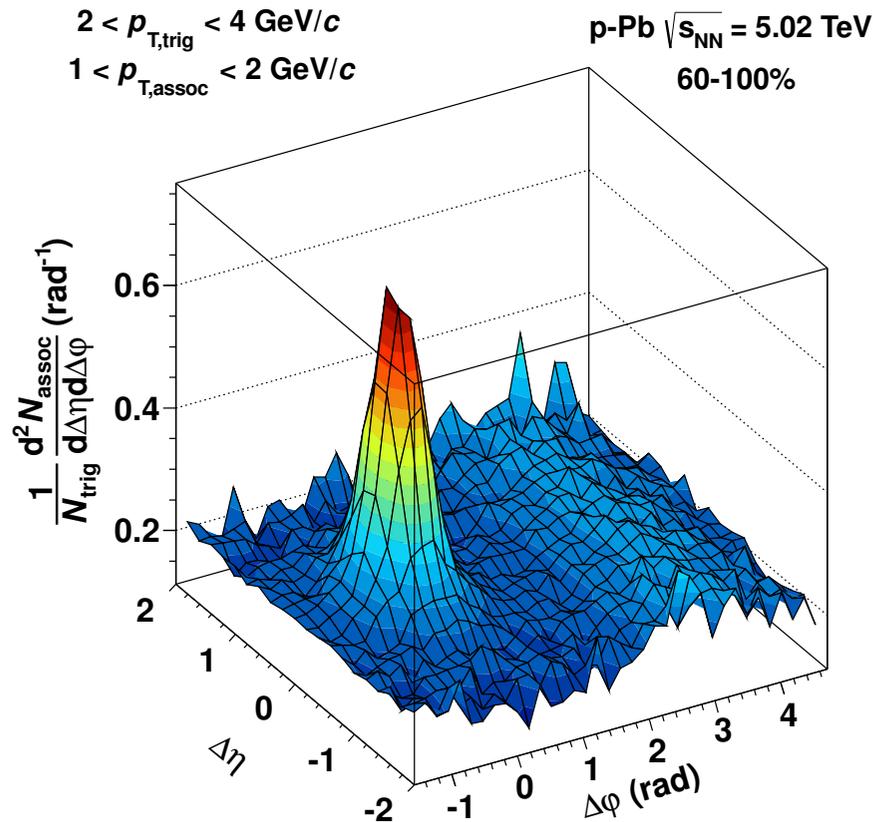


ALI-PUB-46228

- High-multiplicity p-Pb:
  - near-side ridge appears
  - higher yields on near- and away-side

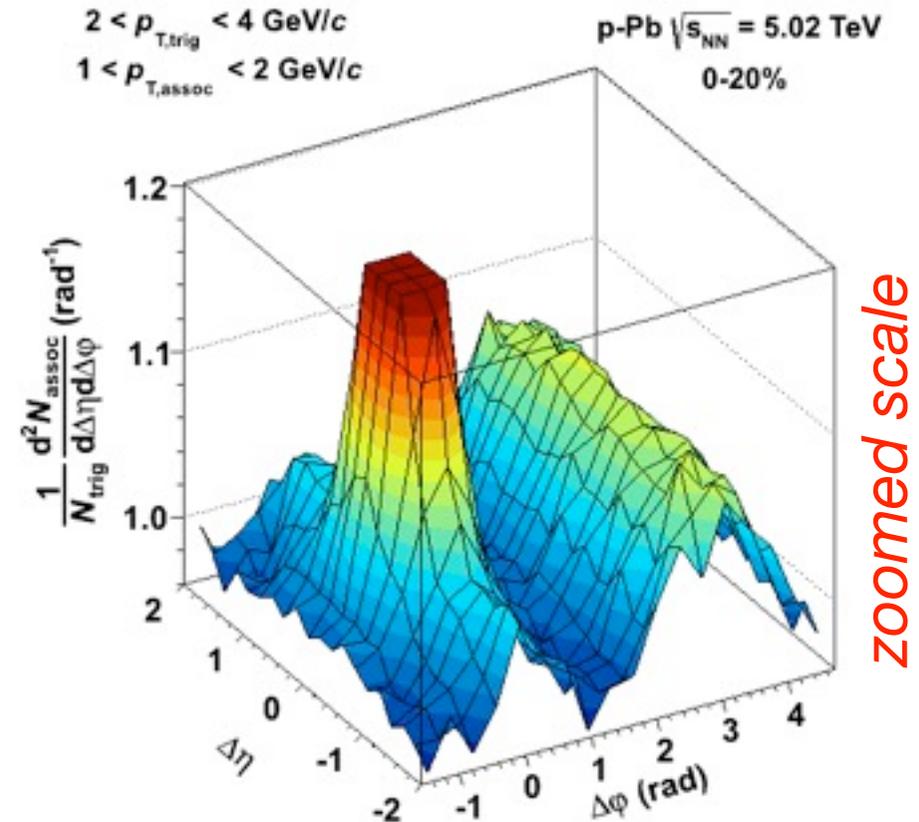
# Di-Hadron Correlations Multiplicity Dependence

arXiv:1212.2001



ALI-PUB-46224

- Low-multiplicity p-Pb:
  - pp-like (jet-like) correlation

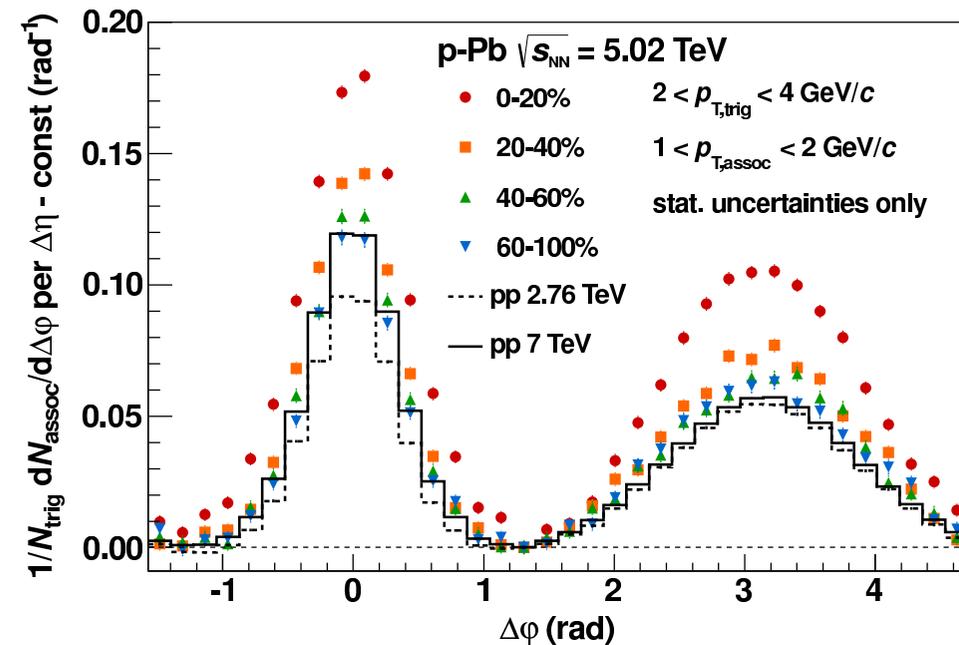


- High-multiplicity p-Pb:
  - near-side ridge appears
  - higher yields on near- and away-side

# Di-Hadron Correlations Multiplicity Dependence

arXiv:1212.2001

- Compare associated yield in p-Pb event classes and pp
  - project to  $\Delta\phi$  over  $|\Delta\eta| < 1.8$
  - subtract baseline at  $|\Delta\phi| \approx 1.3$
- Low multiplicity p-Pb is similar to pp
- Yield rises on near- and away-side with increasing multiplicity
- In contrast to the away-side suppression observed in d+Au at RHIC at forward  $\eta$  (similar  $x$ )

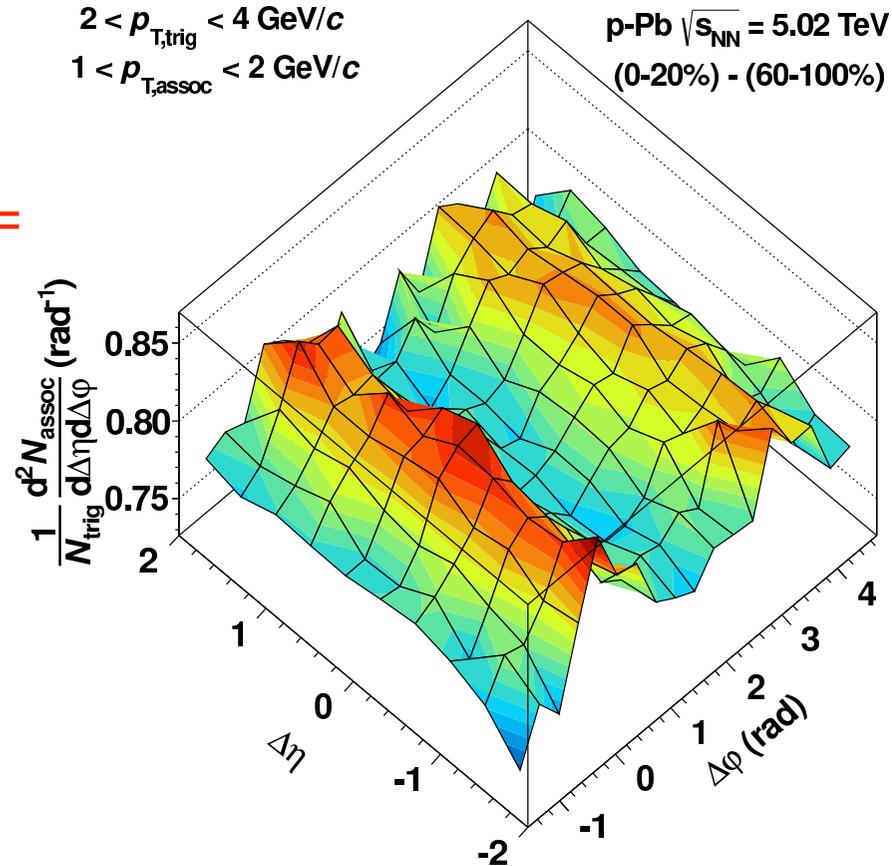
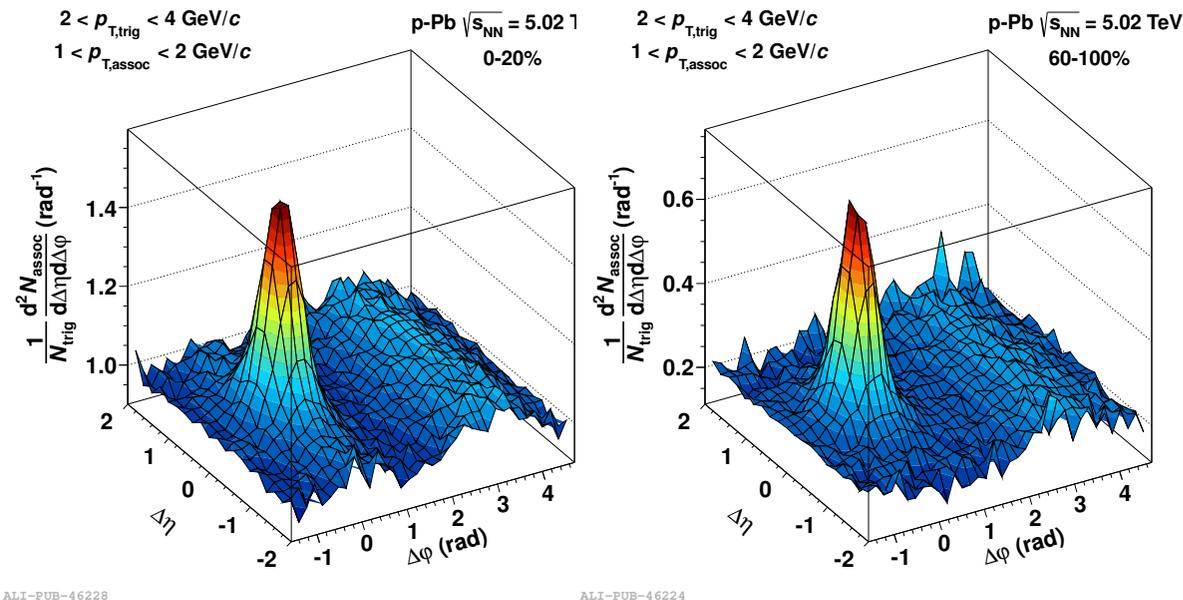


# Di-Hadron Correlations Two Ridges!

arXiv:1212.2001

- Quantify the excess in high-multiplicity p-Pb: subtract jet-like correlation

0-20% minus 60-100% =

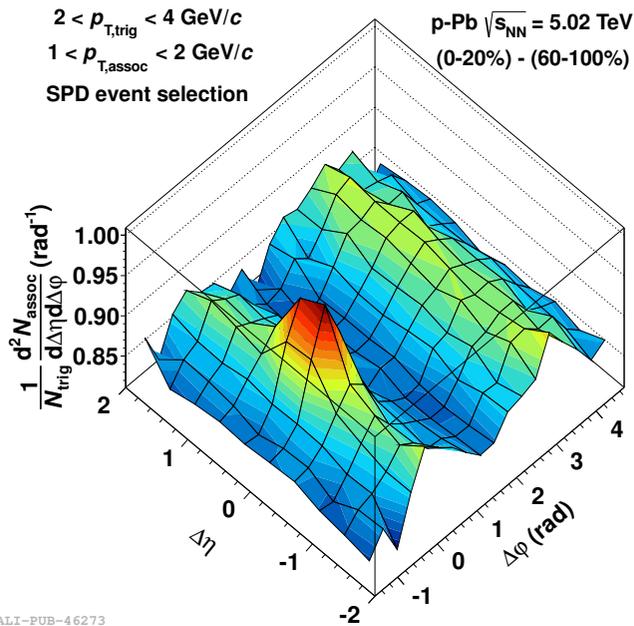


- The near-side ridge is accompanied by an almost identical structure on the away-side!

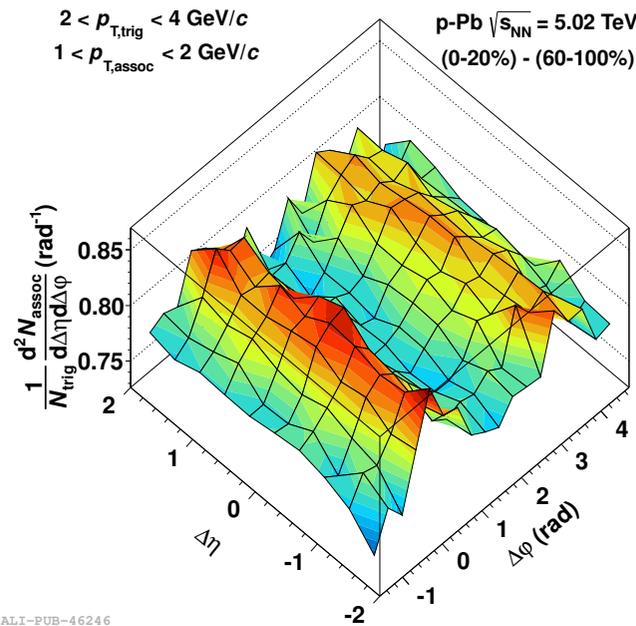
# Di-Hadron Correlations Systematics

- A residual jet peak at (0,0) remains after subtraction (0-20%)-(60-100%) (cf. B.Cole ATLAS talk, Monday)
- Compare different event class definitions

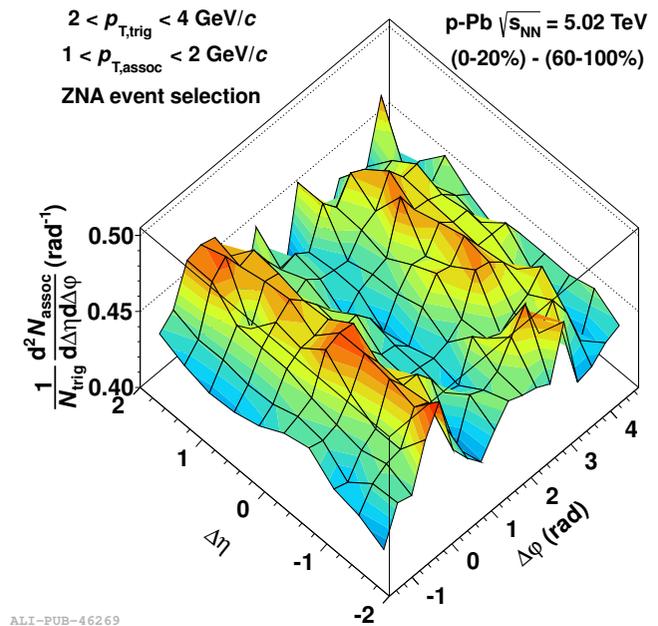
SPD



VZERO



ZNA



Auto-correlation



$\eta$  separation



# Di-Hadron Correlations Two Ridges

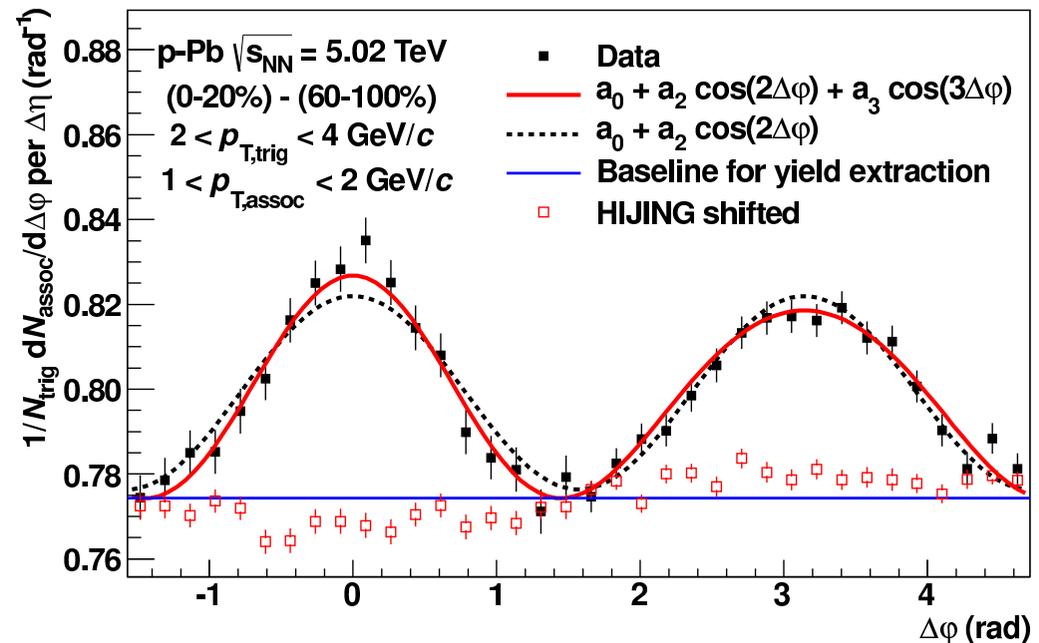
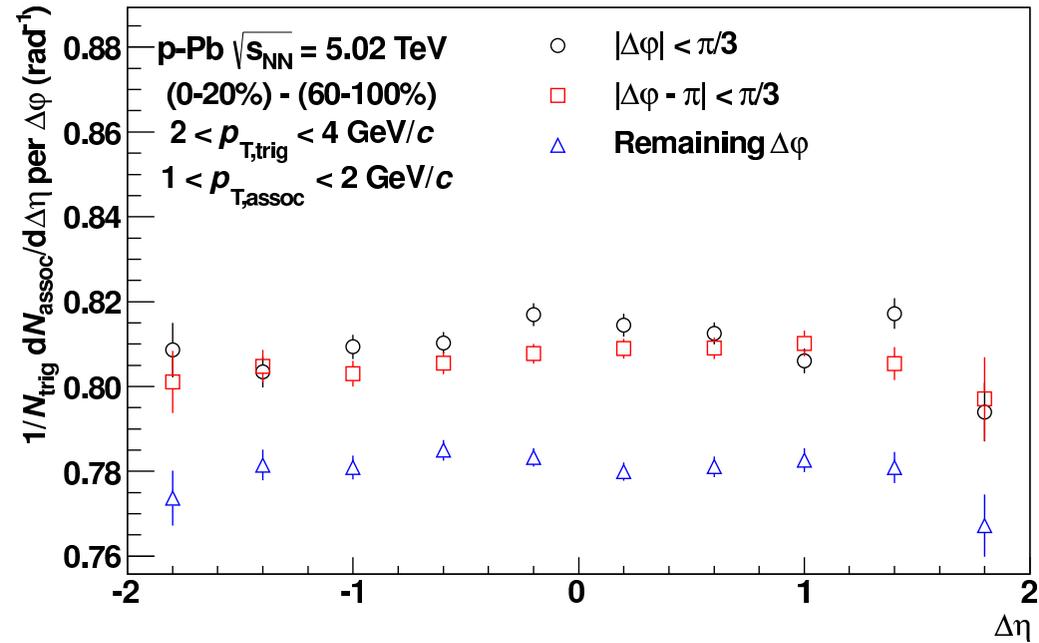
arXiv:1212.2001

- A closer look at the two ridges: the near- and away-side ridges

- have the same magnitude
- are essentially flat in  $\Delta\eta$  (small residual near-side peak)

- Project to  $\Delta\phi$

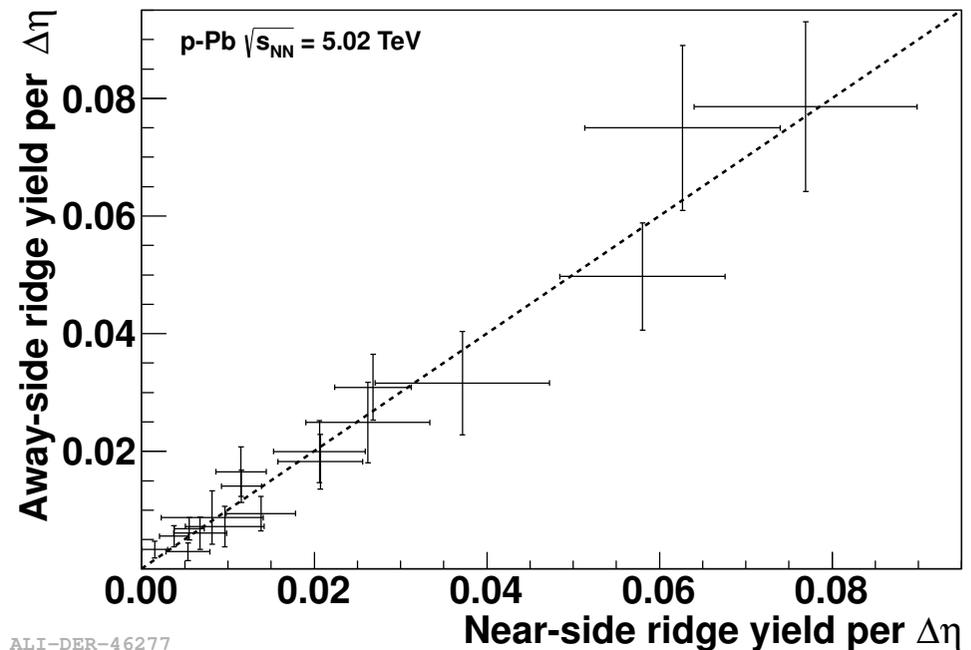
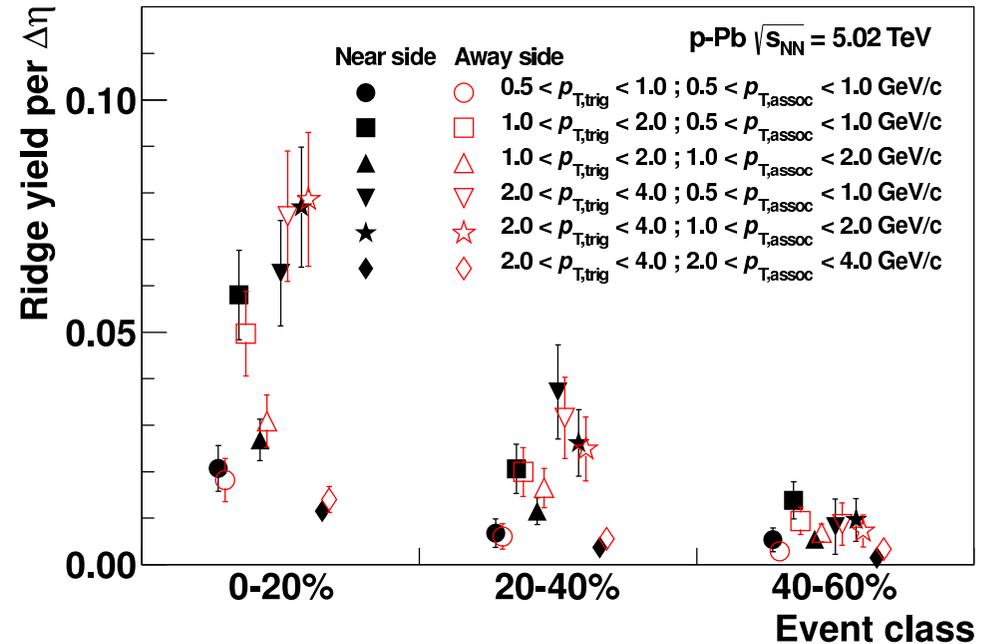
- exclude residual peak ( $|\Delta\eta| < 0.8$  on the near-side)
- in HIJING the correlation shows no qualitative changes with multiplicity
- quantify the ridges: extract ridge yields and Fourier coefficients



# Di-Hadron Correlations Quantifying the Ridges

arXiv:1212.2001

- Ridge yields: integrate the two ridge structure on the
  - near side  $|\Delta\phi| < \pi/2$
  - away side  $\pi/2 < \Delta\phi < 3\pi/2$
- Near- and away-side yields
  - vary over a large range
  - agree for all  $p_T$  and multiplicity ranges
- The correlation between near- and away-side yields suggests a common underlying physical origin



# Di-Hadron Correlations Quantifying the Ridges

- Fourier decomposition

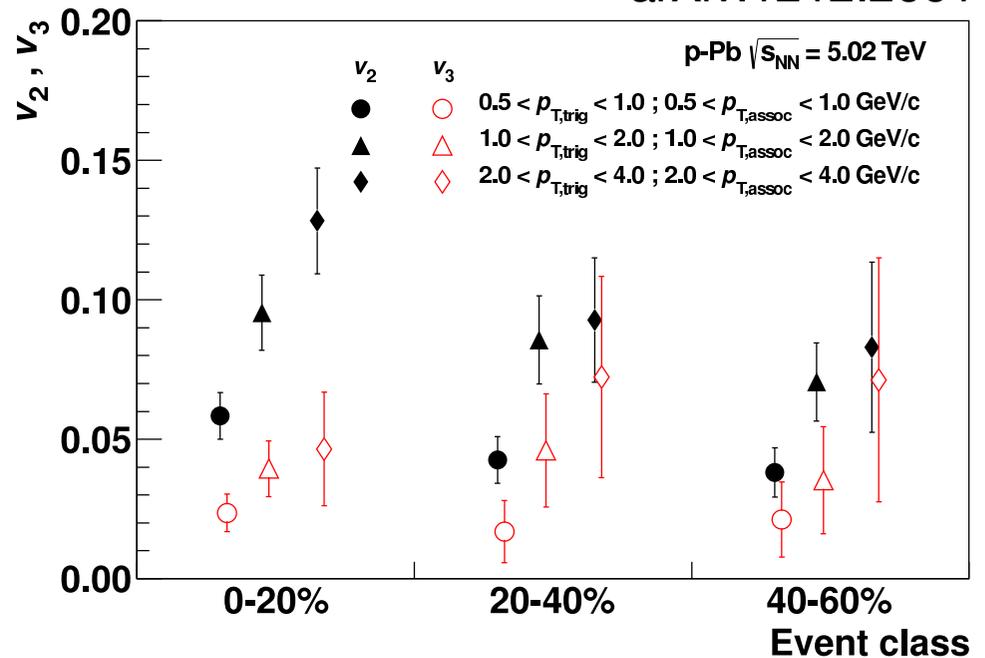
$$\frac{1}{N_{\text{trig}}} \frac{dN_{\text{assoc}}}{d\Delta\varphi} =$$

$$a_0 + 2a_2 \cos(2\Delta\varphi) + 2a_3 \cos(3\Delta\varphi),$$

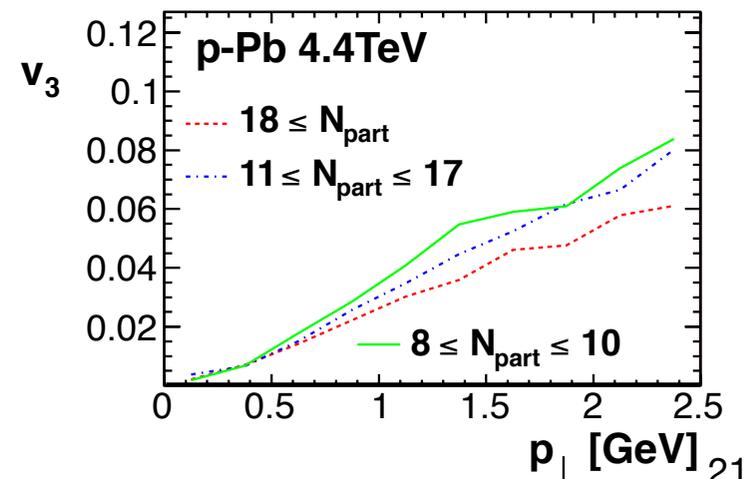
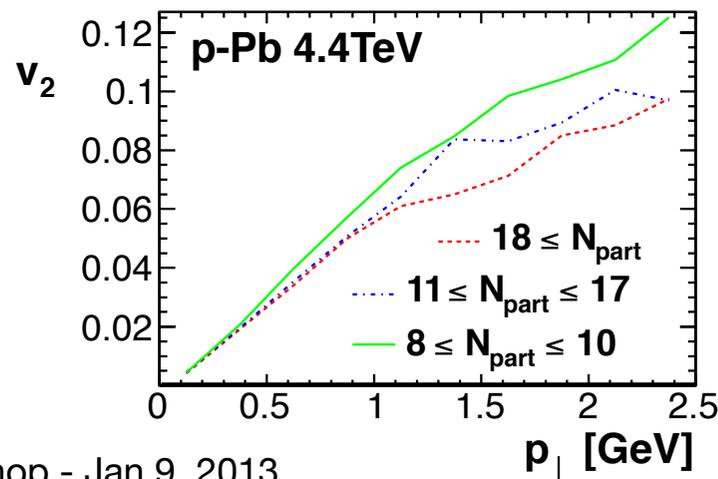
$$v_n = \sqrt{\frac{a_n}{b}}$$

- $b$ : baseline in higher multiplicity class
- $v_2$  and  $v_3$  increase with  $p_T$
- $v_2$  increases with multiplicity
- 3+1D viscous hydrodynamic calculation (p-Pb 4.4TeV) qualitatively describes multiplicity and  $p_T$  dependence of both  $v_2$  and  $v_3$

arXiv:1212.2001

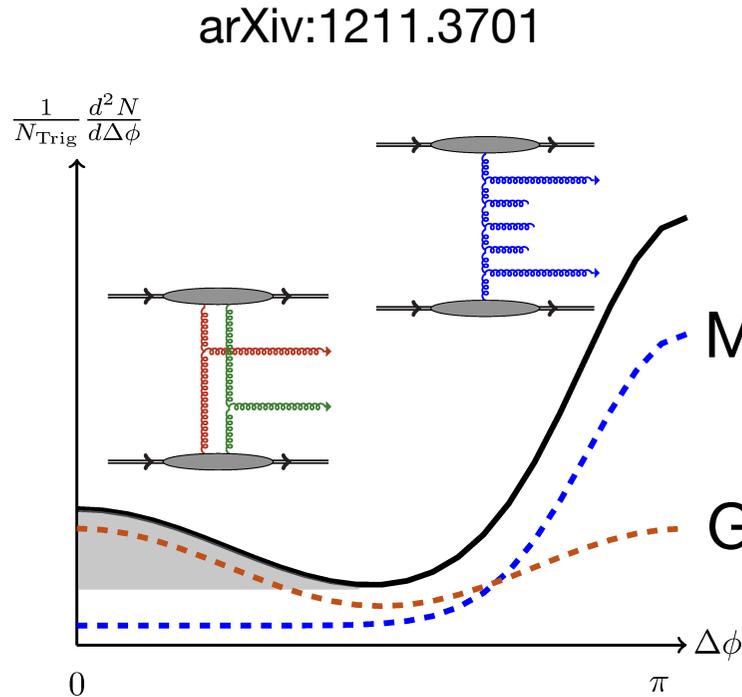


arXiv:1112.0915



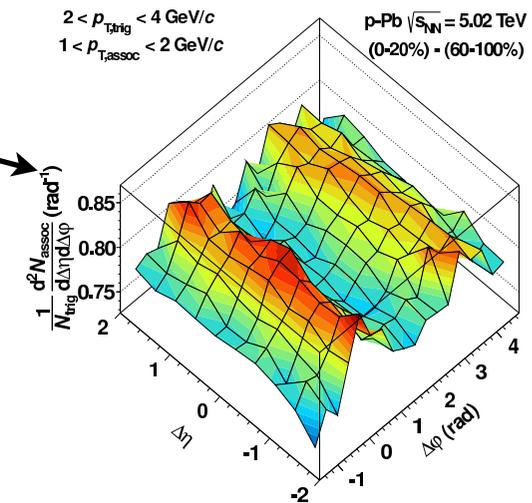
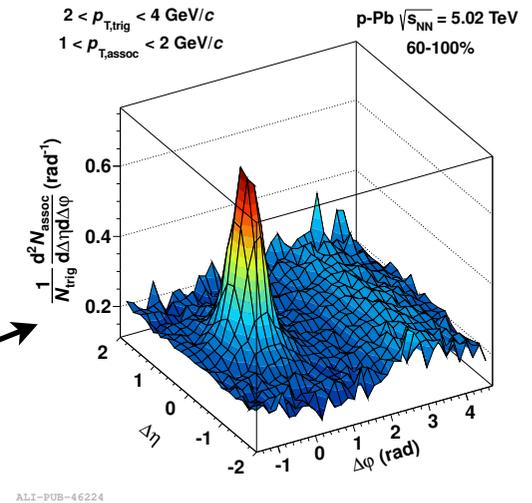
# Di-Hadron Correlations Quantifying the Ridges

- Two ridges are also qualitatively predicted by CGC



Minijets

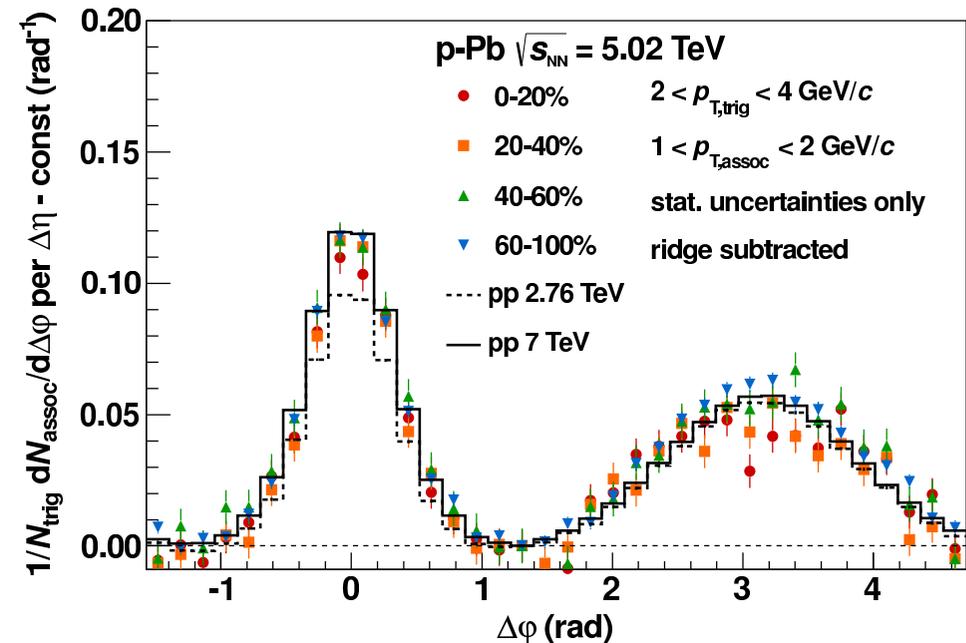
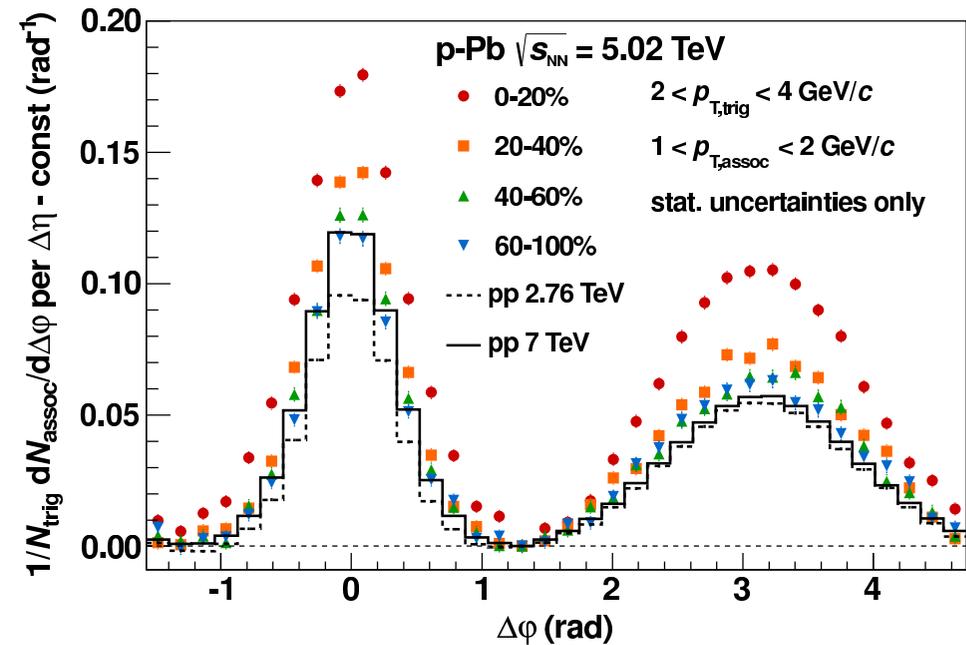
Glasma



# Di-Hadron Correlations Multiplicity Dependence

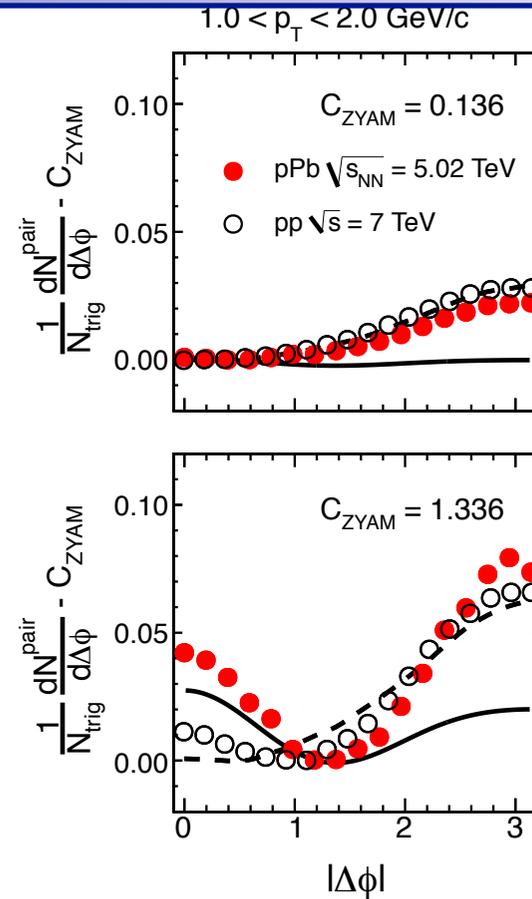
arXiv:1212.2001

- Event multiplicity hierarchy
- Subtract a symmetric double-ridge from  $\Delta\phi$  projection
  - projection:  $|\Delta\eta| < 1.8$
  - evaluate near-side ridge:  $1.2 < |\Delta\eta| < 1.8$   
 $|\Delta\phi| < \pi/2$
  - mirror at  $\pi/2$  to the away side
- Remaining correlation (jet-like) shows no multiplicity dependence!
  - 2013 run will give definitive answer to whether or not the away-side is modified beyond the ridge structure



# Di-Hadron Correlations Other LHC Experiments

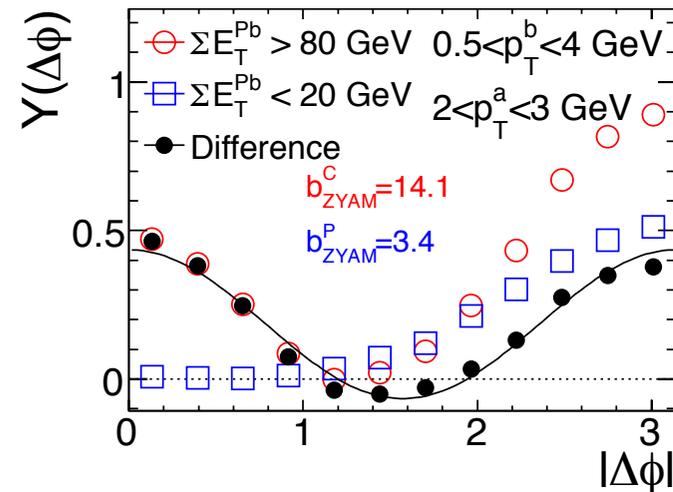
- CMS
  - reported near-side ridge
- ATLAS
  - confirms the two-ridge structure
- Structures seen in ATLAS and CMS are qualitatively similar to the ALICE results
- Different normalizations and  $p_T$  ranges among the three LHC experiments make a quantitative comparison difficult



CMS  
arXiv:1210.5482

low-mult.  
( $N_{\text{track}} < 35$ )

high-mult.  
( $N_{\text{track}} \geq 110$ )

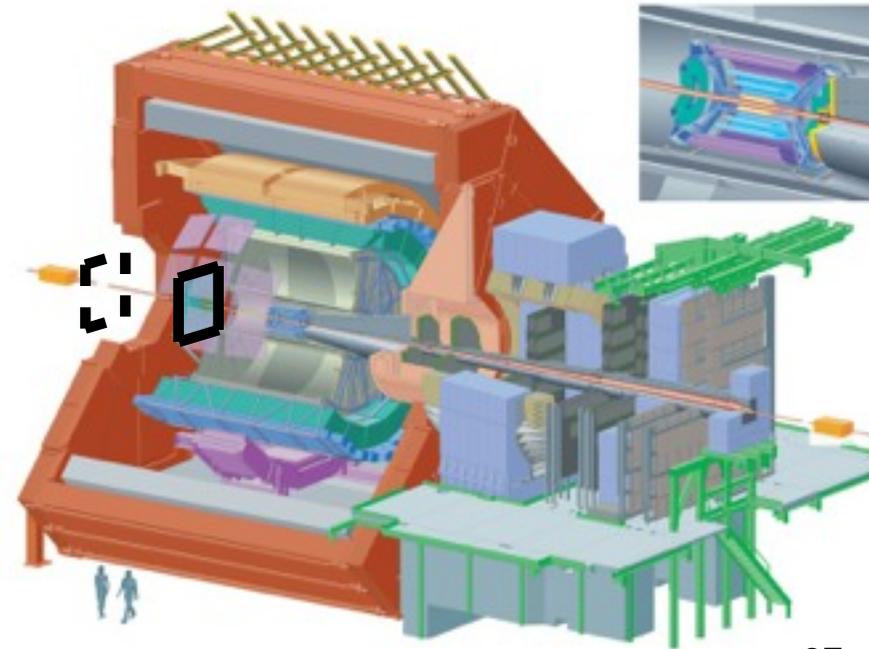
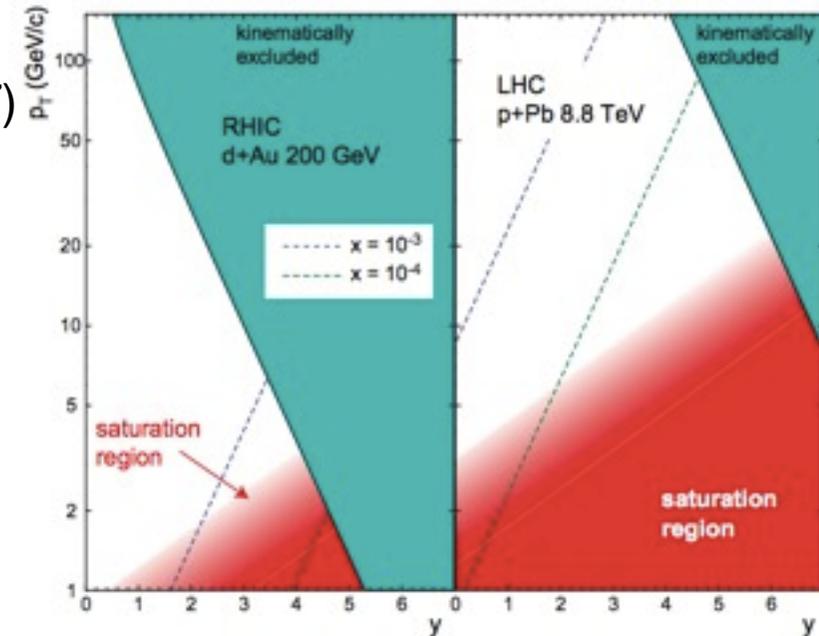


ATLAS  
arXiv:  
1212.5198

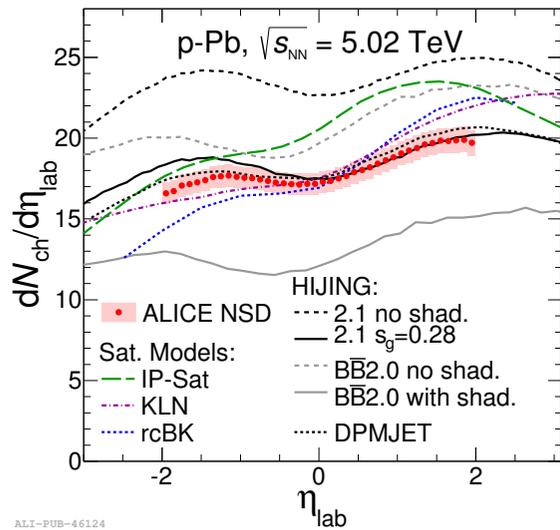
- Rich physics from one-day pilot run!
- Pseudorapidity density (arXiv:1210.3615 accepted at Phys. Rev. Lett.)
  - saturation models predict steeper slope of  $\eta$  spectrum
- Transverse momentum spectra and nuclear modification factor  $R_{pPb}$  (arXiv:1210.4520)
  - neither suppression nor enhancement of high  $p_T$  hadrons observed
  - no model describes  $\eta$  and  $p_T$  spectra simultaneously
- Di-hadron correlations (arXiv:1212.2001 accepted at Phys. Lett. B)
  - high multiplicity p-Pb events show long-range correlations
  - two essentially identical ridges on the near- and the away-side
  - no suppression of the away-side (seen at RHIC in forward d+Au) observed

- Nuclear modification factor  $R_{pPb}$ 
  - discern models at high  $p_T$  with larger statistics
  - study the event multiplicity dependence of  $R_{pPb}$  (understand p-Pb “centrality”)
  
- Di-hadron correlations
  - study the ridge using flow analysis techniques
  - correlation function for identified particles
  
- Jets,  $J/\psi$  and open heavy flavor
  - nuclear modification of jet spectra and heavy flavor yields: pp vs. p-Pb vs. Pb-Pb

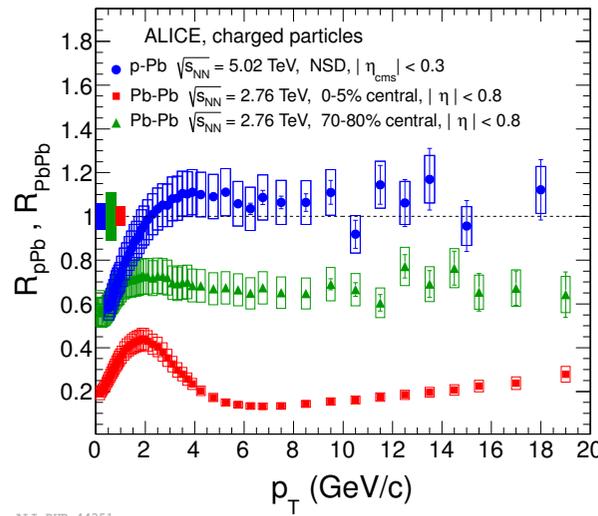
- Potential forward upgrades (arXiv:1106.5807)
  - under discussion for LHC long shutdown 2
- Electromagnetic calorimeter FoCal
  - Si/W calorimeter
  - direct  $\gamma$  and  $\pi^0$
  - two positions considered  
 $3.3 < \eta < 5.3$  or  $2.5 < \eta < 4.5$
- Optional hadronic calorimeter HCal
  - Cu/Scintillator sampling calorimeter
  - improved photon isolation
  - additionally jet,  $\gamma$ -jet physics
- Large kinematic reach ( $Q^2$  and  $x$ ) for direct  $\gamma$  and (di-)jet correlations



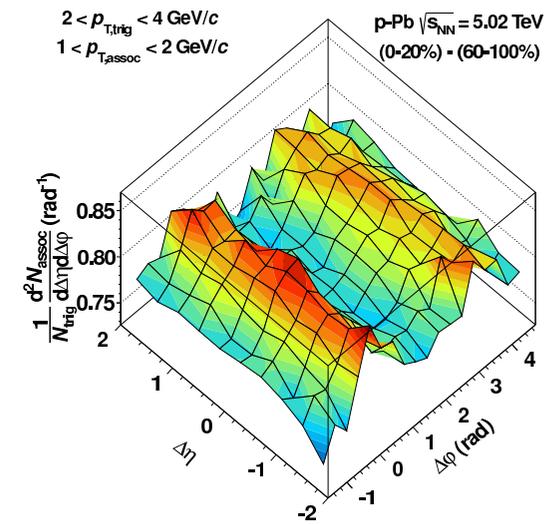
arXiv:1210.3615



arXiv:1210.4520



arXiv:1212.2001



- Looking forward to 2013 p-Pb data!



# Ratio of Averages or Average of Ratios

- What is measured for jet-like correlations in symmetric bins ?
- Assume event ( $i$ ) composed of sum of independent  $N^i$  sources emitting  $n_{ij}$  correlated particles.
- With our way of averaging (ratio of averages):

$$\begin{aligned}\frac{N_{pair}}{N_{trig}} &= \frac{\sum_{i=1}^{N_{evt}} \sum_{j=1}^{N_{source}^i} \frac{1}{2} n_{ij} (n_{ij} - 1)}{\sum_{i=1}^{N_{evt}} \sum_{j=1}^{N_{source}^i} n_{ij}} \\ &= \frac{N_{evt} \langle N_{source} \rangle \frac{1}{2} \langle n(n-1) \rangle}{N_{evt} \langle N_{source} \rangle \langle n \rangle} \\ &= \frac{1}{2} \frac{\langle n(n-1) \rangle}{\langle n \rangle} \quad \text{no source/multiplicity dependence}\end{aligned}$$

# Average of Ratios

$$\frac{N_{pair}}{N_{trig}} = \frac{1}{N_{evt}} \sum_i \frac{\sum_{j=1}^{N_{source}^i} n_{ij}(n_{ij} - 1)}{\sum_{j=1}^{N_{source}^i} n_{i,j}} \quad (2)$$

It is impossible to simplify this expression for the general case. The result depends on the distribution of number of sources. This can be seen by considering two limiting cases.

(1)  $N_{source} = 1$

$$\frac{N_{pair}}{N_{trig}} = \langle n - 1 \rangle |_{n>0} = \frac{\langle n \rangle}{1 - p_0} - 1 \quad (3)$$

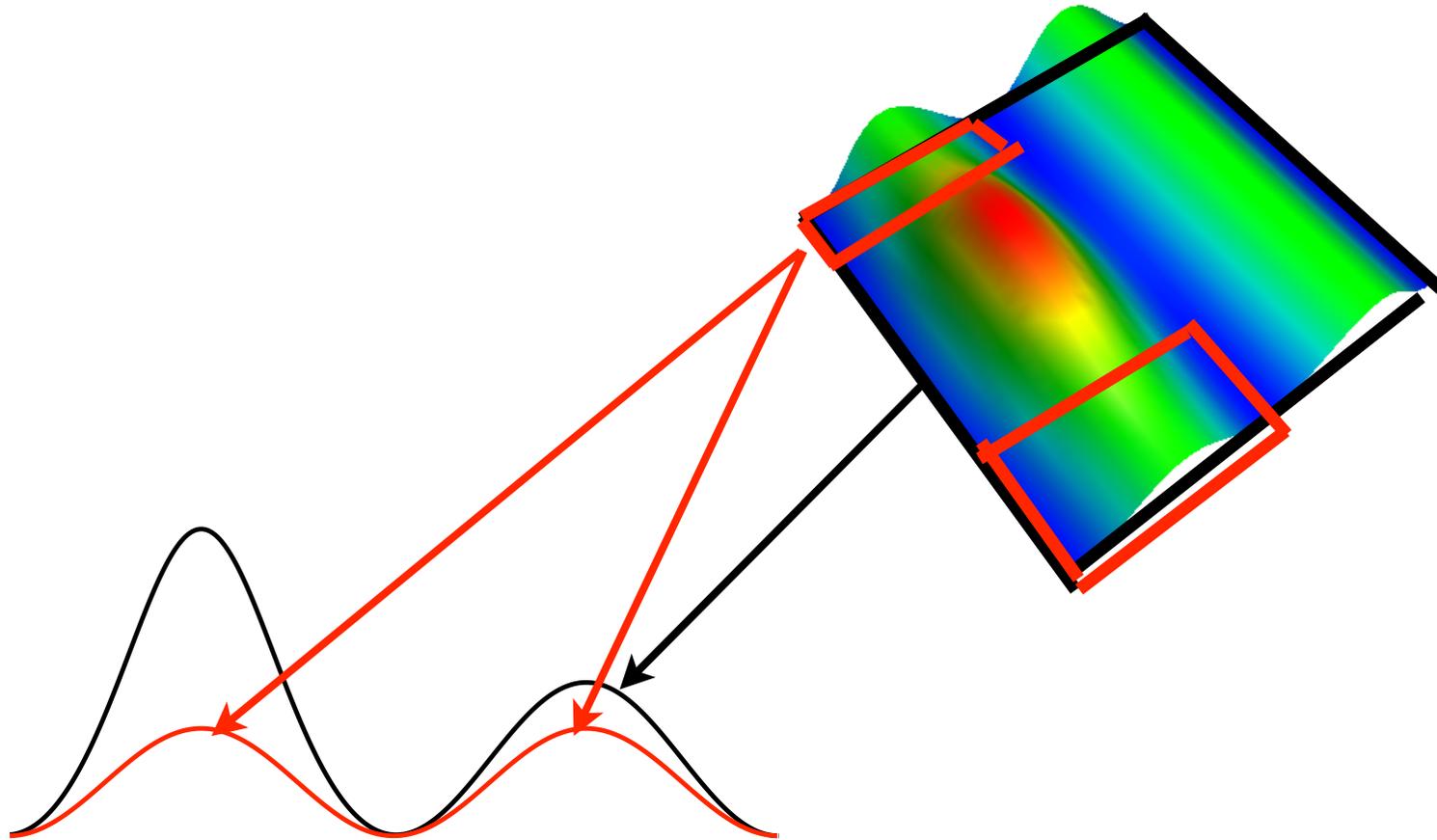
The average of ratios measures the number of additional particles under the trigger condition. This is usually called the *number of associated particles*  $N_{ass}$

(2)  $N_{source}$  **large** In this case the source average and the event average are equal and the average of ratios is equal to the ratio of averages.

$$\begin{aligned} \frac{N_{pair}}{N_{trig}} &= \frac{1}{N_{evt}} \sum_i \frac{\sum_{j=1}^{N_{source}^i} n_{i,j}(n_{i,j} - 1)}{\sum_{j=1}^{N_{source}^i} n_{i,j}} \\ &= \frac{\langle n(n - 1) \rangle}{\langle n \rangle} \end{aligned} \quad (4)$$

multiplicity dependent

# Di-Hadron Correlations Subtraction



- One-day pilot run on September 13, 2012
  - bunch intensities:  $10^{10}$  (p),  $6 \cdot 10^7$  (Pb)
  - 8 (out of 13) bunches collide at ALICE
  - interaction region:  $\sigma_z=6.3\text{cm}$ ,  $\sigma_r=60\mu\text{m}$
  - luminosity  $8 \cdot 10^{25} \text{ cm}^{-2}\text{s}^{-1}$   $\rightarrow$  hadronic interaction rate 150 Hz
- $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$ 
  - 4 TeV p + 1.58A TeV Pb
  - center of mass moves with  $\Delta y=-0.465$  in direction of p beam
- $\approx 2\text{M}$  p-Pb events recorded. Originally planned for accelerator / experiment setup, the pilot run yields physics results!

